
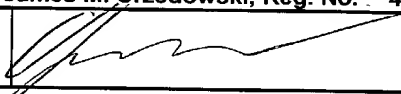


09/28/01
JCEAS U.S. PTO

09965765.092801

10-01-01

A

Vidas, Arrett & Steinkraus Utility Patent Application Transmittal	Atty. Docket No	S63.2-10002																																																																											
	First Inventor	Dachuan Yang et al																																																																											
	Title:	BALLOON CATHETER WITH STRIPED FLEXIBLE TIP																																																																											
	Express Mail Label No.	EL835297966																																																																											
Application Elements	Address To:	Commissioner for Patents Box Patent Application Washington, DC 20231																																																																											
<table><tr><td>1. <input checked="" type="checkbox"/></td><td>Fee Transmittal Form</td><td>Pages</td></tr><tr><td></td><td><input checked="" type="checkbox"/> Check Included</td><td></td></tr><tr><td>2. <input type="checkbox"/></td><td>Applicant claims small entity status</td><td></td></tr><tr><td>3. <input checked="" type="checkbox"/></td><td>Specification (including pg cover sheet, 13pg description, 5pg claims and 1pg abstract)</td><td>Pages 19</td></tr><tr><td>4. <input checked="" type="checkbox"/></td><td>Drawings</td><td>Pages 6</td></tr><tr><td>5. <input checked="" type="checkbox"/></td><td>Oath or Declaration</td><td>Pages 4</td></tr><tr><td></td><td>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</td><td></td></tr><tr><td></td><td>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 19 completed)</td><td></td></tr><tr><td></td><td>i. <input checked="" type="checkbox"/> Deletion of Inventor(s) - signed statement attached deleting inventors named in the prior application</td><td>Pages</td></tr><tr><td>6. <input checked="" type="checkbox"/></td><td>Application Data Sheet</td><td>Pages 1</td></tr><tr><td>7. <input checked="" type="checkbox"/></td><td>Assignment Papers (cover sheet & documents and check)</td><td>Pages 3</td></tr><tr><td></td><td><input type="checkbox"/> Previously recorded on , Reel , Frames</td><td></td></tr><tr><td>8. <input type="checkbox"/></td><td>Power of Attorney</td><td>Pages</td></tr><tr><td></td><td><input type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee)</td><td>Pages</td></tr><tr><td>9. <input type="checkbox"/></td><td>English Translation Document</td><td>Pages</td></tr><tr><td>10. <input type="checkbox"/></td><td>Information Disclosure Statement</td><td>Pages</td></tr><tr><td></td><td><input type="checkbox"/> Copies of Citations (references)</td><td></td></tr><tr><td>11. <input type="checkbox"/></td><td>Preliminary Amendment</td><td>Pages</td></tr><tr><td>12. <input type="checkbox"/></td><td>Return Receipt Postcard</td><td>Pages 1</td></tr><tr><td>13. <input type="checkbox"/></td><td>Certified Copy of Priority Document</td><td>Pages</td></tr><tr><td>14. <input type="checkbox"/></td><td>Nonpublication Request</td><td>Pages</td></tr><tr><td>15. <input type="checkbox"/></td><td>Constructive Petition</td><td>Pages 1</td></tr><tr><td>16. <input checked="" type="checkbox"/></td><td>Limited Authorization</td><td>Pages 1</td></tr><tr><td>17. <input checked="" type="checkbox"/></td><td>VAS Utility Patent Application Transmittal</td><td>Pages 1</td></tr><tr><td>18. <input checked="" type="checkbox"/></td><td>Other CORRESPONDENCE ADDRESS SHEET</td><td>Pages</td></tr></table>			1. <input checked="" type="checkbox"/>	Fee Transmittal Form	Pages		<input checked="" type="checkbox"/> Check Included		2. <input type="checkbox"/>	Applicant claims small entity status		3. <input checked="" type="checkbox"/>	Specification (including pg cover sheet, 13pg description, 5pg claims and 1pg abstract)	Pages 19	4. <input checked="" type="checkbox"/>	Drawings	Pages 6	5. <input checked="" type="checkbox"/>	Oath or Declaration	Pages 4		a. <input checked="" type="checkbox"/> Newly executed (original or copy)			b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 19 completed)			i. <input checked="" type="checkbox"/> Deletion of Inventor(s) - signed statement attached deleting inventors named in the prior application	Pages	6. <input checked="" type="checkbox"/>	Application Data Sheet	Pages 1	7. <input checked="" type="checkbox"/>	Assignment Papers (cover sheet & documents and check)	Pages 3		<input type="checkbox"/> Previously recorded on , Reel , Frames		8. <input type="checkbox"/>	Power of Attorney	Pages		<input type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee)	Pages	9. <input type="checkbox"/>	English Translation Document	Pages	10. <input type="checkbox"/>	Information Disclosure Statement	Pages		<input type="checkbox"/> Copies of Citations (references)		11. <input type="checkbox"/>	Preliminary Amendment	Pages	12. <input type="checkbox"/>	Return Receipt Postcard	Pages 1	13. <input type="checkbox"/>	Certified Copy of Priority Document	Pages	14. <input type="checkbox"/>	Nonpublication Request	Pages	15. <input type="checkbox"/>	Constructive Petition	Pages 1	16. <input checked="" type="checkbox"/>	Limited Authorization	Pages 1	17. <input checked="" type="checkbox"/>	VAS Utility Patent Application Transmittal	Pages 1	18. <input checked="" type="checkbox"/>	Other CORRESPONDENCE ADDRESS SHEET	Pages
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19. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet																																																																													
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Prior Application Information: Examiner Group Art Unit																																																																													
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.																																																																													
20. TOTAL NUMBER OF PAGES 37																																																																													
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Name	James M. Urzedowski, Reg. No. 48,596																																																																												
Signature		Date 9/28/2001																																																																											

J1046 U.S. PTO
09/965765
09/28/01

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Dachuan Yang et al
Examiner Name	
Group Art Unit	
Attorney Docket No.	S63.2-10002

TOTAL AMOUNT OF PAYMENT (\$)962.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees to:
 Deposit Account Number 22-0350
 Deposit Account Name Vidas, Arrett & Steinkraus
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27
☒ Credit any overpayments to Deposit Account No. 22-0350
 Deposit Account Name: Vidas, Arrett & Steinkraus

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355			Utility filing fee	710
106	320	206	160			Design filing fee	---
107	490	207	245			Plant filing fee	---
108	710	208	355			Reissue filing fee	---
114	150	214	75			Provisional filing fee	---

SUBTOTAL (1) \$ 710.00

EXTRA CLAIM FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9			Claims in excess of 20	
102	80	202	40			Independent claims in excess of 3	
104	270	204	135			Multiple dependent claim, if not paid	
109	80	209	40			**Reissue independent claims over original patent	
110	18	210	9			** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$ 962.00

**or number previously paid, if greater, For Reissues, see above

James M. Urzedowski, Reg. No. 48,596

Signature

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65			Surcharge - late filing fee or oath	---
127	50	227	25			Surcharge - late provisional filing fee or cover sheet	---
139	130	139	130			Non-English specification	---
147	2,520	147	2,520			For filing a request for <i>ex parte</i> reexamination	---
112	920*	112	920*			Requesting publication of SIR prior to Examiner action	---
113	1,840*	113	1,840*			Requesting publication of SIR after Examiner action	---
115	110	215	55			Extension for reply within first month	---
116	390	216	195			Extension for reply within second month	---
117	890	217	445			Extension for reply within third month	---
118	1,390	218	695			Extension for reply within fourth month	---
128	1,890	228	945			Extension for reply within fifth month	---
119	310	219	155			Notice of Appeal	---
120	310	220	155			Filing of Appeal	---
121	270	221	135			Request for oral hearing	---
138	1,510	138	1,510			Petition to institute a public use proceeding	---
140	110	240	55			Petition to revive - unavoidable	---
141	1,240	241	620			Petition to revive - unintentional	---
142	1,240	242	620			Utility issue fee (or reissue)	---
143	440	243	220			Design issue fee	---
144	600	244	300			Plant issue fee	---
122	130	122	130			Petitions to the Commissioner	---
123	50	123	50			Processing fee under 37 CFR 1 17(q)	---
126	180	126	180			Submission of Information Disclosure Stmt	---
581	40	581	40			Recording each patent assignment per property (times number of properties)	---
146	710	246	355			Filing a submission after final rejection (37 CFR § 1 129(a))	---
149	710	249	355			For each additional invention to be examined (37 CFR § 1 129(b))	---
179	710	279	355			Request for Continued Examination (RCE)	---
169	900	169	900			Request for expedited examination of a design application	---
Other Fee (specify)							(\$)
*Reduced by Basic Filing Fee Paid							(\$)
SUBTOTAL (3)							(\$)

Telephone 952-563-3000

Date 09/28/2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Inventor(s):	Dachuan Yang et al
Title:	BALLOON CATHETER WITH STRIPPED FLEXIBLE TIP
Filed:	<input checked="" type="checkbox"/> concurrently herewith <input type="checkbox"/> on _____ and assigned Serial No. _____

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

Docket No.: S63.2-10002

CORRESPONDENCE ADDRESS OF LAW FIRM

Vidas, Arrett & Steinkraus P.A. would like to make the following correspondence address of record. Please send all correspondence for this application to the address as follows:

CUSTOMER NUMBER 490
whose present address is
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Minnetonka, MN 55343-9185

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

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